

UNIVERSITY RULE

15.05.04.M1 High Risk Global Engagements and High Risk International Collaborations

Approved: April 6, 2023 Next Scheduled Review: April 6, 2028

Rule Statement

Texas A&M University (University) encourages its faculty and staff to establish global engagements in the pursuit of mutually beneficial fundamental scientific inquiries, cultural, or educational opportunities. These activities strengthen the academic and research programs and are fundamental to each member's educational system. However, such engagements need to be considered in a risk framework.

Reason for Rule

This rule implements the requirements of <u>System Regulation 15.05.04</u>, High Risk Global Engagements and High Risk International Collaborations, involving Countries of Concern.

Definitions

Definitions are consistent with System Regulation 15.05.04.

Procedures and Responsibilities

1. PURPOSE AND BACKGROUND

Texas A&M is committed to protecting the integrity of research and educational programs, including the interests of all involved in contractual relationships and international collaborations.

- 2. HIGH RISK GLOBAL ENGAGEMENT AND HIGH RISK INTERNATIONAL COLLABORATION ADMINISTRATION
 - 2.1 High Risk Global Engagements

- (a) High Risk Global Engagements are Contracts with one or more Foreign Persons based outside the United States in a Country of Concern. All Contracts with foreign entities have final signature and execution authority pursuant to <u>SAP 25.07.01.M1.01</u> President's Delegation of Authority for Contract Administration (unless Board of Regents approval is required under <u>System Policy 25.07, Contract Administration</u>).
- (b) Prior to signature of High Risk Global Engagements, these activities will route through the Office of Export Controls, Conflict of Interest, and Responsible Conduct of Research (EC/COI/RCR) for reviews of any potential conflicts of commitment, conflicts of interest, export control, or undue foreign influence concerns. Any High Risk Global Engagement not initially denied by the University involving a Country of Concern will be sent to the System RSO for review pursuant to <u>System Regulation 15.05.04</u>.
- 2.2 High Risk International Collaborations
 - (a) Prior to engaging in a High Risk International Collaboration, the collaboration must be routed to EC/COI/RCR for review and approval of any potential conflicts of commitment, conflicts of interest, export control, or undue foreign influence concerns. Any collaboration not initially denied by the University involving a Country of Concern will be sent to the system RSO for review pursuant to *System Regulation 15.05.04*.
- 2.3 Employees involved in High Risk Global Engagements or High Risk International Collaborations are required to complete export control training once every two years.
- 2.4 Approvals for High Risk Global Engagements or High Risk International Collaborations are valid for the duration of the contract or collaboration. If any amendments are made to the contract or collaboration, a new review process is required.

Related Statutes, Policies, or Requirements

<u>System Regulation 15.05.04</u>, High Risk Global Engagements and High Risk International Collaborations.

Appendix

• <u>Frequently Asked Questions</u>

Contact Office

Office of the Vice President for Research (979) 845-8585